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***Before Final - Official***

## ***EFS Transmission Sheet***

December 27, 2006

To: Examiner Julie Ha  
From: Kevin C. Brown  
Re: In re the Application of: Yuji HAYASHI, Mitsuhiro MAKINO, Toshiyuki KOUZAKI, Motohiro TAKEDA and Takahito JOMORI  
Serial No.: 10/530,125 Art Unit: 1654  
Date Filed: October 27, 2005 Conf. No. 1475  
Title: GLP-1 DERIVATIVE AND PREPARATION THEREOF ABSORBABLE VIA MUCOUS MEMBRANE  
Our Docket No.: 868\_006

I hereby certify that the following 9 page(s) **including this cover** is/are being transmitted via EFS to the Patent and Trademark Office on *December 27, 2006*:

|    | <u>Paper</u>                | <u>Index of Pages</u> | <u># of Pages</u> |
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| 2. | Amendment Transmittal ..... |                       | 1                 |
| 3. | Amendment including:        |                       |                   |
|    | ▶ Cover Page .....          | 1-1                   | 1                 |
|    | ▶ Listing of Claims .....   | 2-5                   | 4                 |
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Tara L. Preston

**PATENT AMENDMENT TRANSMITTAL***(Provisions of 37 CFR 1.136 Apply)*

|                    |  |                     |                  |
|--------------------|--|---------------------|------------------|
| Application Number | 10/530,125   | Filing Date         | October 27, 2005 |
| Group Art Unit     | 1654   | Examiner Name       | Julie HA         |
| Confirmation No    | 1475   | Attorney Docket No. | 868_006          |
| Inventor(s)        | Yuji HAYASHI, Mitsuhiro MAKINO, Toshiyuki KOUZAKI, Motohiro TAKEDA and Takahito JOMORI |                     |                  |
| Invention:         | GLP-1 DERIVATIVE AND PREPARATION THEREOF ABSORBABLE VIA MUCOUS MEMBRANE                |                     |                  |

Transmitted herewith is an Amendment in the above-identified application. The fee has been calculated as follows:

**CLAIMS AS AMENDED**

| (1)  | (2)<br>Claims Remaining<br>After Amendment | (3)   | (4)<br>Highest Number<br>Previously Paid | (5)<br>No. of Extra Claims<br>Present | (6)<br>Rate<br>(Large Entity) | (7)<br>Additional<br>Fee |
|--|--|-------|--|---------------------------------------|-------------------------------|--------------------------|
| TOTAL CLAIMS                                   | 17   | MINUS | 20                                       | 0                                     | \$50.00                       | \$00.00                  |
| INDEP CLAIMS                                   | 3  | MINUS | 3  | 0                                     | \$200.00                      | \$00.00                  |
| <b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b> |  |       |  |                                       |                               | \$00.00                  |

**EXTENSION OF TERM**

☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

☐ This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above-identified application. The requested extension and appropriate non-small entity fee are as follows:

- |   |            |  |
|---|------------|--|
| <input type="checkbox"/> One Month (37 CFR 1.17(a)(1) .....   | \$120.00   |  |
| <input type="checkbox"/> Two Month (37 CFR 1.17(a)(2) .....   | \$450.00   |  |
| <input type="checkbox"/> Three Month (37 CFR 1.17(a)(3) ..... | \$1,020.00 |  |

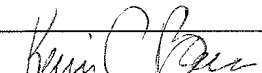
**TOTAL FEES DUE**

☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount is reduced by one-half, and the resulting fee is:

**FEE PAYMENT**

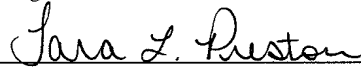
- ☒ No additional fee is required. ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☐ Charge Deposit Account 50-1446 in the amount of \$. Enclosed is a duplicate copy of this sheet.
- ☒ Please charge any fees which may be required, or credit any overpayment, to Deposit Account 50-1446.

**Submitted By:**

|                   |   |           |                |             |                   |
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| Signature         |  |           |                | Date        | December 27, 2006 |

**CERTIFICATION OF EFS TRANSMISSION**

I hereby certify that this paper is being transmitted via EFS to the Patent and Trademark Office on December 27, 2006.

  
Tara L. Preston